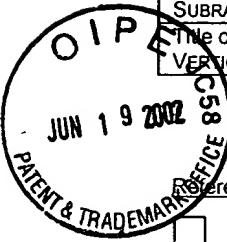


| TRANSMITTAL LETTER   |                                |                              | Case No. 10519/30      |
|--|--------------------------------|------------------------------|------------------------|
| Serial No.<br>09/939,498   | Filing Date<br>August 24, 2001 | Examiner<br>Not Yet Assigned | Group Art Unit<br>2818 |
| Inventor(s)<br>SUBRAMANIAN ET AL.  |                                |                              |                        |
| Title of Invention<br>VERTICALLY STACKED FIELD PROGRAMMABLE NONVOLATILE MEMORY AND METHOD OF FABRICATION |                                |                              |                        |



TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is First Supplemental Information Disclosure Statement (2 pages); Form PTO-1449 (6 pages); Cited References; Postcard Receipt.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.

Petition for a \_\_\_\_\_ month extension of time.

No additional fee is required.

The fee has been calculated as shown below:

|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity |                 | or | Other than Small Entity |                    |
|--------------|-----------------|----|-------------------------|--------------------|
| Rate         | Add'l Fee       |    | Rate                    | Add'l Fee          |
| x \$9=       |                 |    | x \$18=                 |                    |
| x 42=        |                 |    | x \$84=                 |                    |
| +\$140=      |                 |    | +\$280=                 |                    |
|              | Total add'l fee | \$ |                         | Total add'l fee \$ |

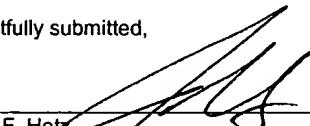
Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

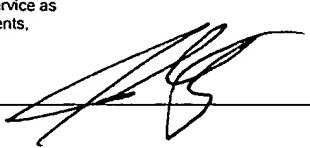
Respectfully submitted,

  
Joseph F. Heitz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 14, 2002.

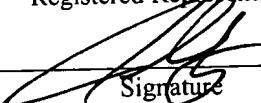
Date: June 14, 2002

Signature: 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on June 14, 2002  
Date of Deposit



Joseph F. Hetz - Reg. No. 41,070  
Name of Applicant, Assignee or  
Registered Representative

  
Signature

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I. D. S.  
G. Shantz  
9-25-02  
Patent  
Our Case No. 10519-30

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                          |                      |
|-----------------------|--------------------------|----------------------|
| In re Application of: | )                        |                      |
| Subramanian et al.    | )                        |                      |
|                       | )                        |                      |
| Serial No.:           | 09/939,498               | )                    |
|                       | )                        | Group Art Unit: 2818 |
| Filed:                | August 24, 2001          | )                    |
|                       | )                        |                      |
| For:                  | Vertically Stacked Field | )                    |
|                       | Programmable Nonvolatile | )                    |
|                       | Memory and Method of     | )                    |
|                       | Fabrication              | )                    |

### FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit documents B1-B170 listed on the attached form PTO-1449 for consideration by the Examiner. Applicants request that the Examiner review the entire disclosure of these documents and make them of record.

In accordance with 37 C.F.R. § 1.98(d), copies of documents marked with a “•” in form PTO-1449 are not enclosed since they were previously cited by or submitted to the Office in one or more of prior application serial numbers 09/714,440; 09/469,658; and 09/192,883, which are being relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of all other documents are being provided herewith.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that any of the information submitted herewith is prior art against the present application.

Dated: June 14, 2002

Respectfully submitted,



\_\_\_\_\_  
Joseph F. Hetz  
Reg. No. 41,070  
Attorney for Applicants

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